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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/592,660
	Filing Date	June 13, 2000
	First Named Inventor	Neil W. Black, et al.
	Group Art Unit	3628
	Examiner Name	T. Bui
Attorney Docket Number		003797.86776

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William F. Rauchholz, Registration No. 34,701
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Date	June 27, 2003

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Appln. No.: 09/592,660 (Attorney Docket No. 003797.86776)
Amendment dated June 27, 2003
Reply to Office Action of April 9, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Neil W. Black, et al.

Appln. No.: 09/592,660

Filed: June 13, 2000

For: **SYSTEM AND METHOD FOR
CREATING MODEL
INVESTMENT PORTFOLIOS**

Atty. Docket No.: 003797.86776

Group Art Unit: 3628

Examiner: Thach H. Bui

Confirmation No.: 7450

AMENDMENT

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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GROUP 3600

Sir:

In response to the Office Action mailed April 9, 2003 (Paper No. 3), please amend the above-captioned patent application as described below:

Amendments to the Specification being on page 2 of this Amendment.

Amendments to the Claims are reflected in the Listing of Claims, which begins on page 7 of this Amendment.

No changes to the original drawings have been made in this Amendment.

Remarks/Arguments begin on page 12 of this Amendment.